

## City Police - Schedule of Internal Audit Projects 2015-16

Full Reviews		Recommendations						
Project	Planned Days	Planned Completion Date	Current Stage	Assurance Rating	Total Red	Total Amber	Total Green	Total
<u>Gifts and Hospitality</u>  At the request of Committee this review has been included. This review will look to ensure compliance with the Gifts, Hospitality and Conflicts of Interest policy.	6	31 <sup>st</sup> December 2015	Completed	Amber	-	3	1	4
<u>Interpreters Fees</u>  This review was carried forward from the 2014-15 plan as review could not be accommodated until May 2015.  This review is a spot check to determine whether a sample of claims has been paid in accordance with the correct scheme, for the correct amount and correctly checked and authorised.	5	31 <sup>st</sup> December 2015	Completed	Amber	-	1	1	2

Full Reviews					Recommendations			
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<u>Interim Follow Up of PBX Resilience and Disaster Recovery</u>  A follow up review was requested by management of the PBX Resilience review due to the red assurance opinion and that the recommendations could not be fully implemented until December 2015. Consequently, the same was requested by the City of London Audit & Risk Management Committee of the Disaster Recovery review.	6	31 <sup>st</sup> January 2016 (Actual)	Completed	Red	4	1	-	5
<u>European Commission Grant Verification</u>  Requested verification of the European Commission grant claim in accordance with the requirements of the grant.	5	31 <sup>st</sup> July 2015 (Actual)	Completed	Green	-	-	-	-
<u>EU Grant Funding – Cross Border Bribery Task Force</u>  Requested verification of the European Commission grant claim in accordance with the requirements of the grant.	5	31 <sup>st</sup> December 2015 (Actual)	Completed	Green	-	-	-	-

<b>Full Reviews</b>				<b>Assurance Rating</b>	<b>Recommendations</b>			
<b>Project</b>	<b>Planned Days</b>	<b>Planned Completion Date</b>	<b>Current Stage</b>		<b>Total Red</b>	<b>Total Amber</b>	<b>Total Green</b>	<b>Total</b>
<u>Police Supplies and Services &amp; Third Party Payments</u>  An extensive analysis of payments that are posted to supplies and services, and third party payments will be undertaken. Expenditure will be challenged on the basis of: need; alternative solutions; more efficient methods of procurement.	30	29 <sup>th</sup> March 2016 (Actual)	Completed	Red	1	2	3	6
<u>Police Officers' Allowances and Ad Hoc Payments</u>  Payments to police officers in accordance with agreed allowances via the police payroll will be sample tested for compliance.	10	4 <sup>th</sup> May 2016 (Actual)	Final Report	Green	0	0	0	0
<u>Police Use of Procurement Cards</u>  Probity testing of a sample of transactions made by police officers utilising procurement cards.	20	14 <sup>th</sup> April 2016 (Actual)	Final Report	Amber	0	8	1	9

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<u>Police Officers' Use of Fuel Cards</u>  Probity testing of a sample of transactions made by police officers utilising fuel cards.	20	6 <sup>th</sup> May 2016	Final Report	Red	1	4	0	5
<u>Expenses (including Travel Expenses)</u>  Replaced with Procurement Cards	0	Deleted from plan			-	-	-	-
<u>Business Travel Scheme</u>  Replaced with Procurement Cards	0	Deleted from plan			-	-	-	-
<u>Police IT Governance and Oversight of Outsourcing</u>  Subject to Chamberlain's Dept. internal audit IT coverage. Replaced with European Union grant fund reviews	0	Deleted from plan						
<u>Police Action Awareness Team</u>  Replaced with Fuel Cards	0	Carried forward to 2016-17						